#

Attorney Docket:

3007/49615

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KIYOTAKA MIURA ET AL.

Serial No.: 09/771,940

Filed: JANUARY 30, 2001

Title: THREE-DIMENSIONAL OPTICAL MEMORY MEDIUM

AND PROCESS FOR PRODUCING SAME

SUBMISSION OF MISSING PARTS IN APPLICATION

Box Missing Parts

Commissioner for Patents Washington, D.C. 20231

Sir:

Attached hereto please find:

- 1. The Notice to File Missing Parts of Application.
- 2. The executed Declaration
- 3. Assignment for recordation, including a check in the amount of \$40.00.
- 4. Corrected Application Data Sheet.
- 5. Information Disclosure Statement.
- 6. Certified copy of corresponding Japanese application.
- 7. A check in the amount of \$840.00 in payment of the basic filing fee of \$710.00 and the surcharge of \$130.00 for late submission of the Declaration.

Please credit any overpayments or charge any additional fees to the Deposit Account of Crowell & Moring, L.L.P., Account Number 05-1323 (Docket #3007/49615). A duplicate copy of this letter is attached.

June 5, 2001

Respectfully submitted,

J. D. Evans

Registration No. 26,269

CROWELL & MORING, LLP

P.O. Box 14300

Washington, DC 20044-4300

Telephone No.: (202) 628-8800 Facsimile No.: (202) 628-8844

JDE/ajf

110



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

N, D.C. 20231 Www.uspio.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/771,940

01/30/2001

Kiyotaka Miura

3007/49615

239**5**

NSON MCKEOWN EDWARDS AND LENAHAN

200 G STREET SUITE 700

WASHINGTON, DC 20005

CONFIRMATION NO. 9124

FORMALITIES LETTER

OC000000005938059

Date Mailed: 04/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/06/2001 HMDHAMM1 00000093 09771940

01 FC:101 02 FC:105 710.00 OP 130.00 OP